High-Throughput Large-Area Automated Identification and Quality Control of Graphene and Few-Layer Graphene Films

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Graphene is a two-dimensional (2-D) crystal of sp²-bonded carbon atoms.¹ Mechanical exfoliation of graphene¹ led to the discovery of graphene’s extraordinary electronic,¹ thermal,² optical,³–⁵ and mechanical properties.⁶ Strongly dependent on the number of atomic planes, physical characteristics of few-layer graphene (FLG) are different from those of single layer graphene (SLG). For example, SLG reveals electron mobility in the range from ~40,000 to 400,000 cm² V⁻¹ s⁻¹⁷ and intrinsic thermal conductivity above ~3000 W/mK for large suspended flakes¹⁸–¹¹ while bilayer graphene (BLG) exhibits electron mobility in the range from ~3000 to 8000 cm² V⁻¹ s⁻¹¹² and intrinsic thermal conductivity near ~2500 W/mK.¹³,¹⁴ The electronic, thermal and optical properties of FLG approach those of bulk graphite as the number of atomic layers exceeds approximately 10 layers.¹⁵,¹⁶ The optical transparency of FLG is also a strong function of the number of layers.³–⁵ The one-atom thickness of graphene and its optical transparency (only ~2.3% absorption per layer)³ make graphene identification and counting the number of atomic planes in FLG extremely challenging.

Recent progress in the chemical vapor deposition (CVD) growth of graphene led to fabrication of large-area graphene layers that are transferable onto various insulating substrates.¹⁷,¹⁸ CVD-grown graphene layers of up to 30 in. in size on cheap flexible substrates have been demonstrated.¹⁷ Various other methods of graphene synthesis have been reported.¹⁹–²¹ It is reasonable to expect, in the near future, the emergence of graphene growth techniques on insulating substrates, which would allow one to avoid the graphene transfer steps. The fusion of the large-area graphene on cheap, transparent, flexible substrates with graphene-based OLED technology is expected to lead to major practical applications.²² However, as larger area graphene becomes available, quality control remains an important factor limiting further progress in graphene research and applications. For all of these reasons, it is important to develop a fast scalable method for determining the number of atomic planes in synthesized graphene or FLG. The crucial feature of this method, which would allow for industry applications, should be its suitability for large-area substrates (i.e., lateral dimensions in millimeters or inches). The electronic industry requires high quality large-area wafers that can be used for high-throughput device fabrication.

There are many methods that are currently used individually or in combination for counting the number of atomic planes in graphene samples and for accessing the

ABSTRACT Practical applications of graphene require a reliable high-throughput method of graphene identification and quality control, which can be used for large-scale substrates and wafers. We have proposed and experimentally tested a fast and fully automated approach for determining the number of atomic planes in graphene samples. The procedure allows for in situ identification of the borders of the regions with the same number of atomic planes. It is based on an original image processing algorithm, which utilizes micro-Raman calibration, light background subtraction, lighting nonuniformity correction, and the color and grayscale image processing for each pixel. The outcome of the developed procedure is a pseudo color map, which marks the single-layer and few-layer graphene regions on the substrate of any size that can be captured by an optical microscope. Our approach works for various substrates and can be applied to mechanically exfoliated, chemically derived, deposited or epitaxial graphene on an industrial scale.

KEYWORDS: graphene industrial applications · graphene identification · graphene quality control · image processing · Raman nanometrology
quality of graphene (e.g., presence of lattice defects or impurities). Examples of these methods include micro-Raman spectroscopy, optical microscopy, low-energy electron microscopy (LEEM), low-energy electron diffraction (LEED), atomic force microscopy (AFM), scanning electron microscopy (SEM), transmission electron microscopy (TEM), scanning tunneling microscopy (STM), photoelectron spectroscopy (PES), angle-resolved photoelectron spectroscopy (ARPES), photoemission electron microscopy (PEEM), image J data analysis software, and reflection high-energy electron diffraction (RHEED).

At the same time, the existing methods have major limitations related to their slow, expensive, and non-automated measurement procedures. These methods can only be used for identification and quality analysis of small regions of SLG and sometimes FLG samples, but only on a few-micrometer range scale. Such methods become inadequate for scanning large-area graphene wafers on millimeter scale, which is required for industrial processes. Moreover, most of these techniques provide only rough estimates of the number of atomic layers.

Micro-Raman spectroscopy, the only nondestructive reliable and accurate technique, is limited to a small scanning spot size of a few micrometers. It would be time-consuming to acquire a Raman spectrum from the large area. The Raman spectroscopy method is limited to FLG films with the number of atomic planes \((n)\) less than 5–7. For thicker films the Raman spectrum becomes too close to that from bulk graphite. There are also indications that Raman spectroscopy becomes less efficient for CVD graphene rather than for mechanically exfoliated graphene. The latter is explained by the increased \(\pi-\pi\) bond stacking order, which intensifies the 2D peak from the out-of-plane modes and preserves the G peak of the in-plane modes, thus changing the well-known G peak to 2D peak intensity ratio and complicating interpretation of the Raman spectrum.

Large-Scale Graphene Identification. Here, we describe a process for the large-area graphene identification and quality control that is automated, cheap, robust, high-throughput, and highly efficient. The technique is based on a combination of the modified optical contrast method with several optical filters and image processing algorithms. The calibration of the process is carried out using micro-Raman spectroscopy. The overall approach is illustrated in Figure 1. It shows a schematic of the process starting from a captured image via an optical microscope, micro-Raman calibration, and image processing algorithm, which completes the recognition process. Below we provide a detailed step-by-step description of the process, which allows one to count the number of atomic planes (graphene layers) for a large area and clearly identifies the borderlines between regions of different thickness (i.e., number of atomic planes).

To test the recognition process, we prepared a large number of samples with graphene films. Graphene and FLG were produced by the standard mechanical exfoliation from HOPG and placed on top of the SiO\(_2\) (300 nm)/Si substrate. It is known that the 300-nm thickness of SiO\(_2\) allows one to visualize FLG regions under regular light conditions. The high resolution optical microscopy images of the samples were captured by a digital camera attached to an optical microscope (Nikon Eclipse LV150) in “white” light produced by a quartz tungsten halogen light source.

Step 1. We start by capturing two optical images. The first is of the substrate material (usually SiO\(_2\)/Si but other substrates are also suitable), and the second image is of the FLG sample on the same substrate or different substrate made from the same material (see Figure 2). We intentionally selected samples with FLG regions containing different numbers \((n)\) of atomic planes and having irregular shape boundaries. For convenience, we use the following notation: substrate without graphene = Image O and substrate with graphene = Image I.

Step 2. We perform the calibration procedure, which can be done locally on a selected region where...
visual inspection suggests a presence of SLG, BLG, etc. This step involves collection of Raman spectra from a few spots or performing a Raman line-scan. The Raman spectroscopy has proven to be very reliable for identification of FLG with \( n = 1, 2, 3, 4, \) and 5 via deconvolution of the 2D band and measuring the ratio of the intensities \( I(G)/I(2D) \). In most of cases, a single line scan is sufficient to identify at least one spot for each \( n \). The coordinates of the spots, corresponding to \( n = 1, 2, 3, 4, \) and 5, are recorded and correlated with the color information obtained in the previous step (on both Image O and Image I). This procedure accomplishes the labeling of several spots with the number of atomic planes (see Figure 3). This calibration step does not take much time because it is done locally and does not need to be repeated throughout the whole substrate coated with graphene or the whole wafer with CVD grown graphene. Moreover, once it is done for graphene on a certain substrate it can be omitted for other graphene samples on the same type of substrate under the same illumination.

We verify the Raman calibration via atomic force microscopy (AFM) inspection for randomly selected samples.

This procedure completed the preliminary (i.e., calibration) steps that do not have to be repeated for each new sample if the substrate and light conditions are kept the same. The following steps constitute the image processing algorithm applied to the captured optical images (Image I and Image O). In order to describe these steps we need to define and introduce a few concepts and variables commonly used in image processing. We begin by noting that each optical image can be broken into a matrix of pixels with dimensions \( M \times N \), where pixel row and column locations are in the range of \( 0 \leq x \leq M, 0 \leq y \leq N \). Each pixel is assigned a light intensity in the range \( l_{\text{min}} \leq l(x,y) \leq l_{\text{max}} \) for a given light source intensity. Here, \( l_{\text{max}} \) is the maximum intensity allowable (conventionally assumed to be 255), and \( l_{\text{min}} \) is the minimum intensity allowable (conventionally assumed to be 0), while \( x \) and \( y \) indicate the row and column (or coordinates) of the locations being computed. The intensity of each pixel can be represented as a combination of red (R), green (G), and blue (B) intensity values: \( I(x,y) = [I_R(x,y), I_G(x,y), I_B(x,y)] \), where \( I_R \) is the red intensity value, \( I_G \) is the green intensity value, and \( I_B \) is the blue intensity value. With this in mind we can proceed to the next step.

**Step 3.** Since the main motivation for this research is development of an automatic scalable technique for large-area graphene wafers, one needs to take into account the nonuniformity in wafer illumination. The optical images are taken using optical microscopes and are unavoidably affected by the objective lenses, which do not produce uniform intensity of lighting throughout the image. The light is at its maximum intensity at the focal center and is the dimmest at the corner edges of the image. The illumination of the lighting nonuniformity is accomplished with the help of the reference substrate image (Image O). The intensity profile is found from Image O (see Figure 4) and then subtracted from Image I. This equalizes the lighting conditions over the whole substrate for the following image processing steps. The details of the nonuniform lighting removal process are given in the Methods section (see Nonuniform Lighting Elimination and Supporting Information).

**Step 4.** Once the uniformity of light illumination is achieved for the whole image, one can extract the contrast information for different FLG regions (referenced to the background). To conduct such a process for Image I, we define the red, green, and blue (RGB) values for each pixel of the image. From step 2, we know what RGB values correspond to regions with \( n = 1, 2, 3, 4, \) or 5 (see Figure 5). This determines the range of RGB values that ensure that the region has the number of atomic planes within \( n = 1–5 \). Using this information we identify regions of FLG throughout the whole image or wafer. After we have specified all FLG regions of interest (e.g., with \( n < 4 \)) in pixels within \( M \times N \)
we can exclude all other thicker regions (e.g., with $n > 4$). The exclusion is based on subtracting all points of the image that have RGB levels above or below the allowable RGB range of values previously specified for each $n$ (see Figure 5). The detailed algorithms for the RGB assignment and image processing exclusion of regions that do not belong to the needed $n$ range are described in the Methods section (see Background Subtraction).

Step 5. We can now refine the recognition process and perform identification of each graphene layer (with specific $n$) from the determined FLG regions. To accomplish this task we start by converting the RGB data (defined for FLG regions in Image I) that contain 3 values per pixel to grayscale that contains 1 value per pixel. The latter is accomplished through a process called segmentation (see Methods section entitled Graphene Layer Identification). We label the grayscale contrast range for FLG regions, defined as $\sum \Delta I_n$, and find the minimum—maximum boundary range for the graphene layers with specified $n$ (see Figure 6). The intensity range for graphene layers with a given $n$ is labeled as $\Delta I_n$ (it is contained within the $\sum \Delta I_n$ range). The use of grayscale can be efficient for FLG regions only after removal of the background and regions that correspond to the thick graphitic films.

The optical adsorption of each graphene layer for different brightness intensities is shown in Figure 6, where $\Delta I_n$ contains the range of the light intensity values associated with a specific graphene layer of interest (specified by a given $n$) and $\sum \Delta I_n$ shows the light intensity range of values for the entire FLG region. The range of these light intensity values depends on the brightness of the light source of the optical microscope. The dependence of $\Delta I_n$ on the intensity of the light source is important for this automated identification process.
For clear visual recognition, the unique pseudo colors are assigned to the contrast ranges $\Delta I_n$ for each graphene layer with a given $n$. This is done by further filtering out separately the regions for SLG, BLG, and FLG with $n = 3$ and $4$ from the grayscale FLG region. In Figure 7, we show how such filtering results in the separated regions. The white spots correspond to the regions with a specific number of atomic planes $n$. The entire $M \times N$ transparent image with identified pseudo colored regions is then laid on top of the original optical image (Image I), for visual identification of FLG regions with desired $n$. The mathematical details of the process are described in the Methods section (see Graphene Layer Identification).

Step 6. The graphene identification procedure is completed with an application of the median filter and utilization of pseudo colors for better visualization. The median filtering step involves statistical pixel-to-pixel neighborhood analysis to improve the image appearance and accuracy within the identified region and clarify the boundaries between any two regions with different number of atomic planes $n$ (see also Median Filter in the Methods section). The median filter allows one to remove the high frequency impulse noise commonly known in image processing as “salt and pepper” noise. In our approach, this noise may cause the identified regions of graphene to appear patchy reducing the accuracy when determining the borders of the regions. After the filtering process, we assign the

Figure 6. Illustration of the conversion process of RGB values to grayscale (upper panel). The grayscale allows for easier identification of the regions with a specific number of atomic planes $n$. The grayscale range of FLG is sectioned into the respective light intensity ranges for each individual layer of graphene with a given $n$ (lower panel).

Figure 7. Optical brightness ranges $\Delta I_n$ associated with a specific graphene layer of interest (defined by $n$) and combined range $\sum \Delta I_n$ for the entire FLG region shown as functions of the source brightness (upper panel). The white spots on the sample surface are identified regions of SLG ($n = 1$) and BLG ($n = 2$) (lower panel). The dark background is the rest of the sample surface (i.e., regions of substrate without graphene or regions with other thicknesses). The presented technique can be used for wafer size samples without any major modification or processing time increase.
Figure 8. Statistical nanometrology layer counting analysis is performed by sectioning out a specific individual graphene layer different from the bulk, substrate, and other graphene layers.

pseudo color to each region with a given $n$ value and present the final result on the sample map (see Figure 8). This map clearly marks the number of atomic planes at each location of the sample surface by color: red, green, blue or yellow. The remaining brown regions are the substrate itself without graphene flakes, and the dark regions are the thicker graphite films.

It is easy to see that the approach can be extended to the wafer size or rolls of CVD graphene on flexible substrates. Since the only size limitation is the area of the optical image, our approach is suitable for industrial scale high-throughput applications. The high speed of the image processing algorithms allows for the in situ identification of the number of atomic planes. As a result, the throughput for the industrial scale inspection of many wafers will be determined by the speed of mechanical motion of the wafers to and from the light source. A similar scale of the graphene identification cannot be achieved with Raman spectroscopy. The two-dimensional Raman scan of the whole sample surface will take an extremely long time (the spectroscopic data accumulation for each point on the sample surface takes from $\sim 1$ to $\sim 30$ min with conventional spectrometers). The lateral resolution of Raman spectrometers is determined by the laser spot size, which is on the order of $0.5-1.0 \, \mu m$. In our approach, the Raman spectroscopy is used only for the calibration of the process and can be done locally on a few stops or via a line scan.

The versatility of our metrology technique opens the door for a plethora of experimental and industrial applications. It can be applied to a number of various substrates and graphene samples produced by different methods. Instead of micro-Raman spectroscopy, it can use other calibration techniques. We have tested the method on a large number of graphene samples produced by mechanical exfoliation. In some cases we intentionally used contaminated substrates and FLG flakes that had a large thickness variation (from SLG to bulk graphite). Our technique worked fine for all examined substrates. Moreover, we have tested our approach for another type of the atomically thin materials, topological insulators of the bismuth telluride family. The “graphene-like” exfoliated atomically thin films of Bi$_2$Te$_3$ and Bi$_2$Se$_3$ were placed on top of Si/SiO$_2$ substrates. The graphene identification technique performed for this type of samples as well. The accuracy of our technique can be enhanced further by application of other image enhancing, error reducing algorithms implemented in different software packages. The image processing applications in semiconductor industry have already helped to achieve major improvements in materials processing and chip fabrication at reduced cost.

The proposed large-scale graphene identification and quality control technology can become particularly useful for the newly developed graphene synthesis techniques and graphene practical applications in heat spreaders, interconnects and analog electronics.

CONCLUSIONS

We described a fast and fully automated approach for determining the number of atomic planes (i.e., number of layers) in graphene samples. The procedure allows for in situ identification of the borders of the regions with the same number of atomic planes. It is based on an original image processing algorithm, which utilizes micro-Raman calibration (on a local area), light background subtraction, lighting nonuniformity correction, and color and grayscale image information processing for each pixel. Our approach works for various substrates and can be applied to the mechanically exfoliated, chemically derived, deposited or epitaxial graphene on an industrial scale. The automatic identification procedure is described step-by-step with the mathematical details summarized in the Methods sections.

METHODS

This section is divided into subsections, which provide details for specific steps of the large-scale graphene identification and quality control technique.

Nonuniform Lighting Elimination. This description is pertinent to step 3 of the procedure. To eliminate the nonuniform lighting across Image I, we apply a special filter, which subtracts the light intensity extracted from the background Image O. This is based upon the assumption that under perfect condition, the color intensity of the substrate is uniformly the same across the image. The whole procedure is presented step by step in Figure 9. It starts with accumulation of the typical light intensity.
distribution for Image O along the x or y axis. This intensity distribution is nonuniform with the maximum attained usually around the center of the image. The distribution is modified by subtraction of the uniform background. The resulting nonuniform part is inverted and stored for further use with Image I. The next step is accumulation of the typical intensity distribution for Image I (the actual graphene sample on the substrate). The addition of the inverted light intensity, obtained for the reference Image O, to the intensity distribution in Image I results in the corrected intensity distribution for Image I with eliminated lighting nonuniformity (shown in the lower right panel in Figure 9).

Mathematically, this process is described as an application of the lens modulation transfer function (MTF) filter. The filter corrects the circular lens aberration produced by the Gaussian-like distribution of nonuniform light intensity in both the x and y planes of Image I (see Figures 4 and 9). The application of the MTF filter is performed with the equation

$$I_{\text{MTF}}(x, y) = I_{\text{GR,G,B}}(x, y) - \text{MTF}$$

for each value $I_{\text{GR,G,B}}(x, y)$ where $I_{\text{MTF}} = \min(O_{\text{GR,G,B}})$. The intensity function $I_{\text{MTF}}$ now contains the corrected image with the evenly distributed light intensity across the entire image.

**Background Subtraction.** This procedure is pertinent to step 4. In order to subtract the background, we subtract the RGB value from all pixels that correspond to the same location in Image O and Image I. If the result is $-0$, then the pixel in Image I is assumed to be a background pixel, in which case we change that RGB pixel value to white corresponding to $(0, 0, 0)$. If the result is $1$, then the pixel in Image I is assumed to be not a background pixel, in which case we do not change that RGB pixel value and retain its original RGB value. This is accomplished by performing the following procedure

$$M(x, y) = \begin{cases} 0 & \text{if } O_{\text{GR,G,B}}(x, y) - I_{\text{GR,G,B}}(x, y) = 0 \\ 1 & \text{if } O_{\text{GR,G,B}}(x, y) - I_{\text{GR,G,B}}(x, y) \neq 0 \end{cases}$$

where $M$ contains the filter resulting from Image I with the substrate background subtracted. Next, by using the light contrast information from Figure 2, we can restrict each RGB value to only allow the light intensity range for FLG regions shown in Figure 5.

**Graphene Layer Identification.** This procedure is pertinent to step 5. To perform the identification of graphene layers (i.e., distinguish SLG from BLG, etc.), each pixel in the entire image needs to be "segmented" from RGB colors into a grayscale color. This makes the graphene layer extraction process simpler by converting three RGB values per pixel into one grayscale value per pixel, which comprises a different percentage of each RGB value. The grayscale conversion is completed by changing the $M$ regions of interest to grayscale and changing all other pixels that are not within the FLG contrast range to white by performing the following actions:

$$h_{\text{R,GR}} = 0.30h_{\text{R}} + 0.59h_{\text{G}} + 0.11h_{\text{B}}$$

$$I_{\text{R,GR}}(x, y) = \begin{cases} 255 & \text{if } M(x, y) = 0 \\ \min(I_{\text{R,GR}}(x, y)) & \text{if } M(x, y) = 1 \end{cases}$$

Here $I_{\text{R,GR}}$ is an image containing only FLG. Next, the regions with the specific number of atomic planes $n$ (e.g., SLG or BLG) are determined from their grayscale light intensity range acquired from the optical Image I. The latter is achieved by applying the neighborhood thresholding, which allows one to extract the light intensity contrast range for each individual graphene layer with given $n$ by performing the following operations:

$$\Delta I_{\text{n}}(x, y) = \begin{cases} 1 & L_{1\text{min}} \leq \Delta I(x, y) \\ 2 & L_{2\text{min}} \leq \Delta I(x, y) \leq L_{2\text{max}} \\ 3 & L_{3\text{min}} \leq \Delta I(x, y) \leq L_{3\text{max}} \\ 4 & L_{4\text{min}} \leq \Delta I(x, y) \leq L_{4\text{max}} \\ 0 & \text{other} \end{cases}$$

Here $\sum \Delta I_{\text{n}}$ represents the summation of the light intensity ranges for FLG regions containing each of the grouped layers and $\Delta I_{\text{n}}$ is the light intensity range for a specific graphene layer of interest, $L_1$ is SLG, $L_2$ is BLG, and $L_3, L_4$ are FLG with $n = 3$ and $n = 4$, respectively. The minimum and maximum values span the light intensity threshold range for each graphene layer (with given $n$). This process is repeated over the entire range of the source light intensities in order to provide a calibration lookup table for the image processing algorithms. As a result, the image processing algorithm can section out the graphene light intensity ranges for any light source intensity. The unique pseudo colors are then assigned to each graphene layer and overlaid atop of the original image for clear visual identification. The unique colors are assigned to the rest of the image, which include regions of the substrate or bulk graphite.

**Median Filter.** This procedure is pertinent to step 6. To achieve the precision sufficient for the large-scale industrial implementation and automation, a median filter is applied to remove the high frequency impulse noise. The median filter for each
individual layer is implemented with the help of the formula

\[ M_{\text{even}} = \{ m \text{, } \forall (i, j) \in \{(1, 2, \ldots, W)\} \} \]

(5)

where \( M_{\text{even}} \) is a median filter of size \( W \times H \) for a neighborhood of pixels centered at \( (x, y) \). The median element of the window \( M_{\text{even}} \) is given by

\[
i_{\text{even}}(x, y) = \left\{ \begin{array}{ll}
M_{\text{even}} & \text{for an even } m \\
\frac{M_{\text{even}} + 1}{2} & \text{for an odd } m
\end{array} \right.
\]

(6)

where \( M_{\text{even}}[i, j] = 1 \), \( m = W \times H \), and \( i, j \) is the resulting graphene layer of interest (with given \( n \)) after the impulse noise is removed. The median filter analyzes a set number of pixels in a user-defined matrix region to find the median value of the region currently being inspected. After the operation is performed, the filter is shifted to the next user-defined matrix region until the entire image is analyzed. We tuned our matrix size to effectively eliminate noise while maintaining a high accuracy for the identified graphene regions (with given \( n \)) on the order of a few nanometers.

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Supporting Information Available: This material is available free of charge via the Internet at http://pubs.acs.org.

REFERENCES AND NOTES


